



PTVA's Institute of Management

(Approved by AICTE, DTE and affiliated to University of Mumbai)
Chitrakar Ketkar Marg, Behind M. L. Dahanukar College of Commerce,
Vile Parle (East), Mumbai – 400 057.

Tel.: 022-31061594/31099598, Email: admin@ptvaim.com, Web: www.ptvaim.ac.in
ISO 9001:2015 Certified Institute & Accredited by NAAC

NISM Series - V - A – 2023-24

| PARTICULAR | DETAILS |
|--|---|
| Course duration | 30 hours; Offline |
| List of students who have enrolled along with name and signature of students | |
| Course objectives | <ul style="list-style-type: none"> • Know the basics of mutual funds, their role and structure, different kinds of mutual fund schemes and their features • Understand how mutual funds are distributed in the marketplace, how schemes are to be evaluated, and how suitable products and services can be recommended to investors and prospective investors in the market. • Get oriented to the legalities, accounting, valuation and taxation aspects underlying mutual funds and their distribution. • Get acquainted with financial planning as an approach to investing in mutual funds, as an aid for mutual fund distributors to develop long term relationships with their clients. |
| Curriculum of the Program | Unit 1. Concept & Role of a Mutual Fund Unit 2. Fund Structure & Constituents Unit 3. Legal & Regulatory Environment Unit 4. Offer Document Unit 5. Fund Distribution & Channel Management Practices Unit 6. Accounting, Valuation & Taxation Unit 7. Investor Service Unit 8. Return, Risk & Performance of Funds Unit 9. Mutual Fund Scheme Selection Unit 10. Selecting the Right Investment Products for Investors Unit 11. Helping Investors with Financial Planning Unit 12. Recommending Model Portfolios & Financial Plans |
| Assessment Procedure | The assessment procedure was conducted via the examination seeking to create a common minimum knowledge benchmark for all persons involved in selling and distributing mutual funds including Individual Mutual Fund Distributors, Employees of organizations engaged in sales and distribution of Mutual Funds, Employees of Asset Management Companies specially persons engaged in sales and distribution of Mutual Funds. |



B. Chmukh
Director
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| Resource Person | Beam Outsourcing LLP |
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