



# PTVA's Institute of Management

(Approved by AICTE, DTE and affiliated to University of Mumbai)  
Chitrakar Ketkar Marg, Behind M. L. Dahanukar College of Commerce,  
Vile Parle (East), Mumbai – 400 057.

Tel.: 022-31061594/31099598, Email: [admin@ptvaim.com](mailto:admin@ptvaim.com), Web: [www.ptvaim.ac.in](http://www.ptvaim.ac.in)  
ISO 9001:2015 Certified Institute & Accredited by NAAC

## Activity under MoU with Beam Outsourcing LLP

NISM Series - V - A - 2023-24

PARTICULAR	DETAILS
Course duration	30 hours; Offline
List of students who have enrolled along with name and signature of students	
Course objectives	<ul style="list-style-type: none"> <li>• Know the basics of mutual funds, their role and structure, different kinds of mutual fund schemes and their features</li> <li>• Understand how mutual funds are distributed in the marketplace, how schemes are to be evaluated, and how suitable products and services can be recommended to investors and prospective investors in the market.</li> <li>• Get oriented to the legalities, accounting, valuation and taxation aspects underlying mutual funds and their distribution.</li> <li>• Get acquainted with financial planning as an approach to investing in mutual funds, as an aid for mutual fund distributors to develop long term relationships with their clients.</li> </ul>
Curriculum of the Program	Unit 1. Concept & Role of a Mutual Fund Unit 2. Fund Structure & Constituents Unit 3. Legal & Regulatory Environment Unit 4. Offer Document Unit 5. Fund Distribution & Channel Management Practices Unit 6. Accounting, Valuation & Taxation Unit 7. Investor Service Unit 8. Return, Risk & Performance of Funds Unit 9. Mutual Fund Scheme Selection Unit 10. Selecting the Right Investment Products for Investors Unit 11. Helping Investors with Financial Planning Unit 12. Recommending Model Portfolios & Financial Plans
Assessment Procedure	The assessment procedure was conducted via the examination seeking to create a common minimum knowledge benchmark for all persons involved in selling and distributing mutual funds including Individual Mutual Fund Distributors, Employees of organizations engaged in sales and distribution of Mutual Funds, Employees of Asset Management Companies specially persons engaged in sales and distribution of Mutual Funds.



*B. Chmukh*  
Director  
PTVA's Institute of Management  
Chitrakar Ketkar Marg,  
Behind M. L. Dahanukar College,  
Vile Parle (E), Mumbai-400 057.

राष्ट्रीय प्रतिभूति बाजार संस्थान  
National Institute of Securities Markets  
प्रमाणपत्र Certificate

NISM

श्री / सुश्री Mr. / Ms. NEHA MUKESH VARMA

( पी.ए.एन. संख्या PAN Number : BQMPV2527G )

( पंजीकरण संख्या Registration Number : NISM-202300201323 )

( नामांकन क्रमांक Enrolment Number : 2310395139 )



ने भारतीय प्रतिभूति और विनियम बोर्ड (प्रतिभूति बाजारों में सहयुक्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार  
"रा.प्र.बा.सं.-शृंखला-V-ए : पारस्परिक निधि वितरक प्रमाणीकरण परीक्षा" सफलतापूर्वक पूर्ण कर ली है।

has successfully completed the "NISM-Series-V-A: Mutual Fund Distributors Certification Examination" as required under the SEBI  
(Certification of Associated Persons in the Securities Markets) Regulations, 2007

परीक्षा तिथि Test Date	परीक्षा केंद्र Test Centre	भाषा Language	अंक Marks	टिप्पणियाँ Remarks
December 27, 2023	Mumbai- Andheri	English	50	PASS

तारीख Date : December 30, 2023

स्थान Place : Mumbai

कब तक मान्य Valid Till : December 26, 2026

सुनिल कदम, रजिस्ट्रार Sunil Kadam, Registrar

For online verification of this NISM Certificate, please login to NISM Skills Registry at <https://certifications.nism.ac.in/nismskills>

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NiSM

श्री / सुश्री Mr. / Ms. AJINKYA DEEPAK NANOTKAR

( पी.ए.एन. संख्या PAN Number : BTVPN5314D )

( पंजीकरण संख्या Registration Number : NISM-202300062953 )

( नामांकन क्रमांक Enrolment Number : 2310136359 )



ने भारतीय प्रतिभूति और विनियम बोर्ड (प्रतिभूति बाजारों में सहयुक्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार

"रा.प्र.बा.सं.-शृंखला-V-ए : पारस्परिक निधि वितरक प्रमाणीकरण परीक्षा" सफलतापूर्वक पूर्ण कर ली है।

has successfully completed the "NISM Series V-A: Mutual Fund Distributors Certification Examination" as required under the SEBI  
(Certification of Associated Persons in the Securities Markets) Regulations, 2007

परीक्षा तिथि Test Date	परीक्षा केंद्र Test Centre	भाषा Language	अंक Marks	टिप्पणियाँ Remarks
May 27, 2023	`Navi Mumbai` - Vashi - NISM	English	54	PASS

तारीख Date : May 30, 2023

स्थान Place : Mumbai

कब तक मान्य Valid Till : May 26, 2026

सुनिल कदम, रजिस्ट्रार Sunil Kadam, Registrar

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प्रमाणपत्र Certificate

NiSM

श्री / सुश्री Mr. / Ms. DURGAVATI SURENDRA SINGH

( पी.ए.एन. संख्या PAN Number : KOPPS9724Q )

( पंजीकरण संख्या Registration Number : NISM-202300066726 )

( नामांकन क्रमांक Enrolment Number : 2310151248 )



ने भारतीय प्रतिभूति और विनियम बोर्ड (प्रतिभूति बाजारों में सहयुक्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार

"रा.प्र.बा.सं.-शृंखला-V-ए : पारस्परिक निधि वितरक प्रमाणीकरण परीक्षा" सफलतापूर्वक पूर्ण कर ली है।

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परीक्षा तिथि Test Date	परीक्षा केंद्र Test Centre	भाषा Language	अंक Marks	टिप्पणियाँ Remarks
June 10, 2023	Mumbai - Opposite CST Station	English	62	PASS

तारीख Date : June 16, 2023

स्थान Place : Mumbai

कब तक मान्य Valid Till : June 09, 2026

सुनिल कदम, रजिस्ट्रार Sunil Kadam, Registrar

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